

# Comparison and Review of ALD Target Materials for Quantum Dot Solar Cells: $Al_2O_3$ , $TiO_2$ , $ZnO$ , $HfO_2$ , $WN$ , and $NiO$

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## ABSTRACT

*In the Atomic Layer Deposition (ALD) method, the choice of target materials is critical for achieving precise control over thin film properties such as thickness, composition, and uniformity, which directly impact the performance of devices like quantum dot solar cells. The ability to deposit high-quality films with specific electrical, optical, and mechanical properties is essential for optimizing device efficiency and longevity. Each target material offers unique advantages and challenges, influencing the overall functionality and reliability of the final product. Therefore, careful selection and optimization of target materials are crucial for advancing technologies that rely on ALD. In the ALD method,  $Al_2O_3$  is prized for its excellent dielectric properties and thermal stability, making it ideal for insulating layers, while  $TiO_2$  offers high refractive index and photocatalytic capabilities, suitable for optical coatings and sensors.  $ZnO$  provides transparency and conductivity for electronic applications,  $HfO_2$  delivers high-k dielectric properties for semiconductor devices,  $WN$  serves as an effective diffusion barrier, and  $NiO$  is utilized for its electrochromic and catalytic properties [1], [2], [3].*

**Keywords:** ALD,  $Al_2O_3$ ,  $TiO_2$ ,  $ZnO$ ,  $HfO_2$ ,  $WN$ ,  $NiO$

## INTRODUCTION

Quantum dot solar cells (QDSCs) represent an innovative approach to photovoltaic technology, utilizing nanoscale semiconductor particles known as quantum dots (QDs) to capture and convert sunlight into electricity. These quantum dots exhibit unique optical and electronic properties due to quantum confinement, which occurs when the particle size is smaller than the exciton Bohr radius of the material. This quantum confinement effect allows the absorption spectrum of QDs to be finely tuned by simply adjusting their size, providing the ability to optimize light absorption across the solar spectrum. Additionally, QDs can be fabricated from a variety of materials, including lead sulfide (PbS), cadmium selenide (CdSe), and indium phosphide (InP), each offering distinct advantages in terms of bandgap tunability and environmental stability [4], [5], [6].

The architecture of a typical quantum dot solar cell involves the incorporation of a layer of quantum dots within a matrix or on a substrate, which can then be coupled with electron and hole transport layers to facilitate the efficient separation and transport of photogenerated charge carriers. One of the primary benefits of QDSCs is their potential for high power conversion efficiencies, partly due to the ability of QDs to produce multiple excitons from a single high-energy photon through a process called multiple exciton generation (MEG). This capability could theoretically lead to efficiencies exceeding the Shockley-Queisser limit for traditional single-junction solar cells. Moreover, QDSCs can be manufactured using low-cost, solution-based processes, such as spin coating or inkjet printing, making them attractive for scalable production [7], [8], [9].

Despite their promise, quantum dot solar cells face several challenges that need to be addressed to achieve commercial viability. Key issues include improving the stability of QDs under operational conditions, enhancing charge carrier mobility, and minimizing non-radiative recombination losses. Recent research has focused on surface passivation techniques to reduce surface trap states and the development of robust transport layers to optimize charge extraction. Advances in materials science and nanotechnology are continually driving improvements in QDSC performance, and ongoing research aims to overcome these hurdles to make QDSCs a competitive alternative to conventional photovoltaic technologies [10], [11], [12].

### Target in ALD:

In Atomic Layer Deposition (ALD), the term "target" is somewhat of a misnomer if directly borrowed from techniques like sputtering. Instead, in ALD, we refer to precursor materials that react in a sequence of self-limiting surface reactions to form thin films [13]. These precursors are volatile chemical compounds that deliver specific elements to the growing film. Here's a comprehensive explanation of the role and importance of these "targets" or precursors in the ALD process:

### The ALD Process

#### 1. Precursor Exposure:

- First Precursor: A substrate is exposed to a first precursor, which chemisorbs onto the surface in a self-limiting manner, meaning only a single monolayer of the precursor can adhere to the surface [14].
- Purge Step: Any excess precursor and by-products are removed from the reaction chamber, typically by purging with an inert gas like nitrogen or argon [15].

#### 2. Second Precursor Exposure:

- Second Precursor: The substrate is then exposed to a second precursor that reacts with the chemisorbed layer of the first precursor to form a thin film [16].
- Purge Step: Again, excess precursor and by-products are removed by purging the chamber [17].

#### 3. Cycle Repetition:

- This cycle is repeated until the desired film thickness is achieved. Each cycle deposits a very thin layer, often in the range of one atomic layer per cycle, allowing for precise control over the film thickness and composition [18].

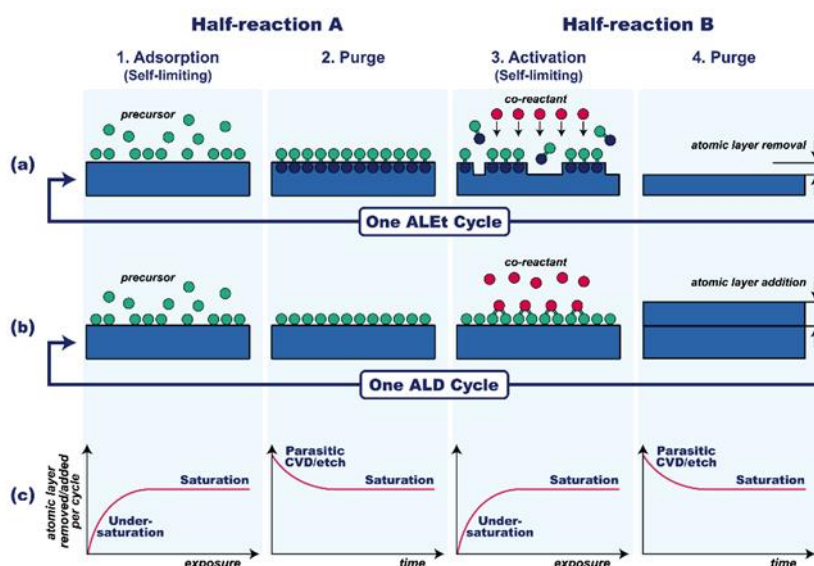
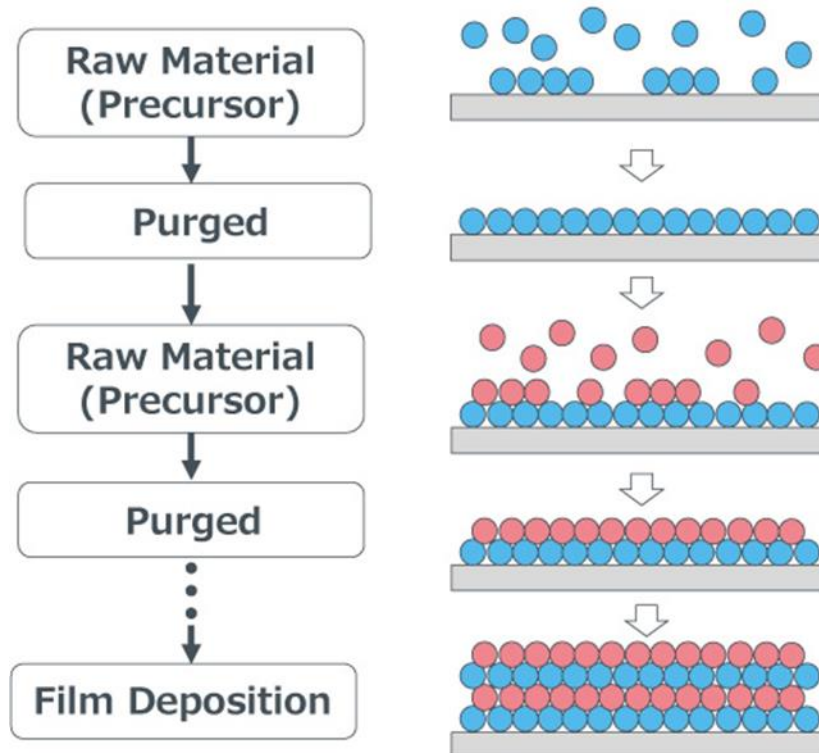


Fig. 1. The ALD Process



[Overview of ALD Steps]

Fig. 2. The ALD Steps

**Materials used:**

In the Atomic Layer Deposition (ALD) method, various materials are used as precursors to deposit thin films with precise control over thickness, composition, and uniformity. Commonly used materials include aluminum oxide (Al<sub>2</sub>O<sub>3</sub>), titanium dioxide (TiO<sub>2</sub>), zinc oxide (ZnO), hafnium oxide (HfO<sub>2</sub>), tungsten nitride (WN), and nickel oxide (NiO). These materials are selected based on their specific properties and applications, ranging from electronic and optical devices to protective coatings and catalysis [19], [20][21][22].

**Aluminum Oxide (Al<sub>2</sub>O<sub>3</sub>) and Titanium Dioxide (TiO<sub>2</sub>):** Al<sub>2</sub>O<sub>3</sub> and TiO<sub>2</sub> is two of the most widely used materials in ALD. Al<sub>2</sub>O<sub>3</sub> is typically deposited using trimethylaluminum (TMA) and water (H<sub>2</sub>O) as precursors. It is prized for its excellent dielectric properties, high thermal stability, and ability to act as a diffusion barrier, making it ideal for microelectronics and protective coatings. TiO<sub>2</sub> is commonly deposited using titanium tetrachloride (TiCl<sub>4</sub>) and water (H<sub>2</sub>O). TiO<sub>2</sub> films are valued for their high refractive index, photocatalytic properties, and usage in optical coatings, sensors, and photovoltaics. Both materials exemplify the versatility of ALD in achieving high-quality, uniform thin films on complex substrates [23], [24], [25][26].

**Zinc Oxide (ZnO) and Hafnium Oxide (HfO<sub>2</sub>):** ZnO and HfO<sub>2</sub> is also prominent in ALD applications. ZnO is deposited using diethylzinc (DEZ) and water (H<sub>2</sub>O) and is known for its transparency, high electron mobility, and piezoelectric properties, making it suitable for transparent conducting films, sensors, and transistors. HfO<sub>2</sub>, deposited using tetrakis(ethylmethylamino)hafnium (TEMAH) and water, is a high-k dielectric material, crucial for advanced semiconductor devices. Its high dielectric constant and excellent thermal stability make it a key material for gate dielectrics in metal-oxide-semiconductor field-effect transistors (MOSFETs), where minimizing leakage current and enhancing performance are essential [27], [28], [29].

**Tungsten Nitride (WN) and Nickel Oxide (NiO):** WN and NiO are used for specialized applications in ALD. Tungsten nitride is typically deposited using tungsten hexafluoride (WF<sub>6</sub>) and ammonia (NH<sub>3</sub>),

providing excellent diffusion barrier properties and good electrical conductivity, making it suitable for microelectronics, particularly as a barrier layer in copper interconnects [30]. Nickel oxide, deposited using nickelocene ( $\text{Ni}(\text{C}_5\text{H}_5)_2$ ) and ozone ( $\text{O}_3$ ), is used for its electrochromic, catalytic, and battery applications. NiO's high capacity for lithium ions makes it an attractive material for battery electrodes, while its catalytic properties are exploited in various chemical processes [31], [32], [33], [34].

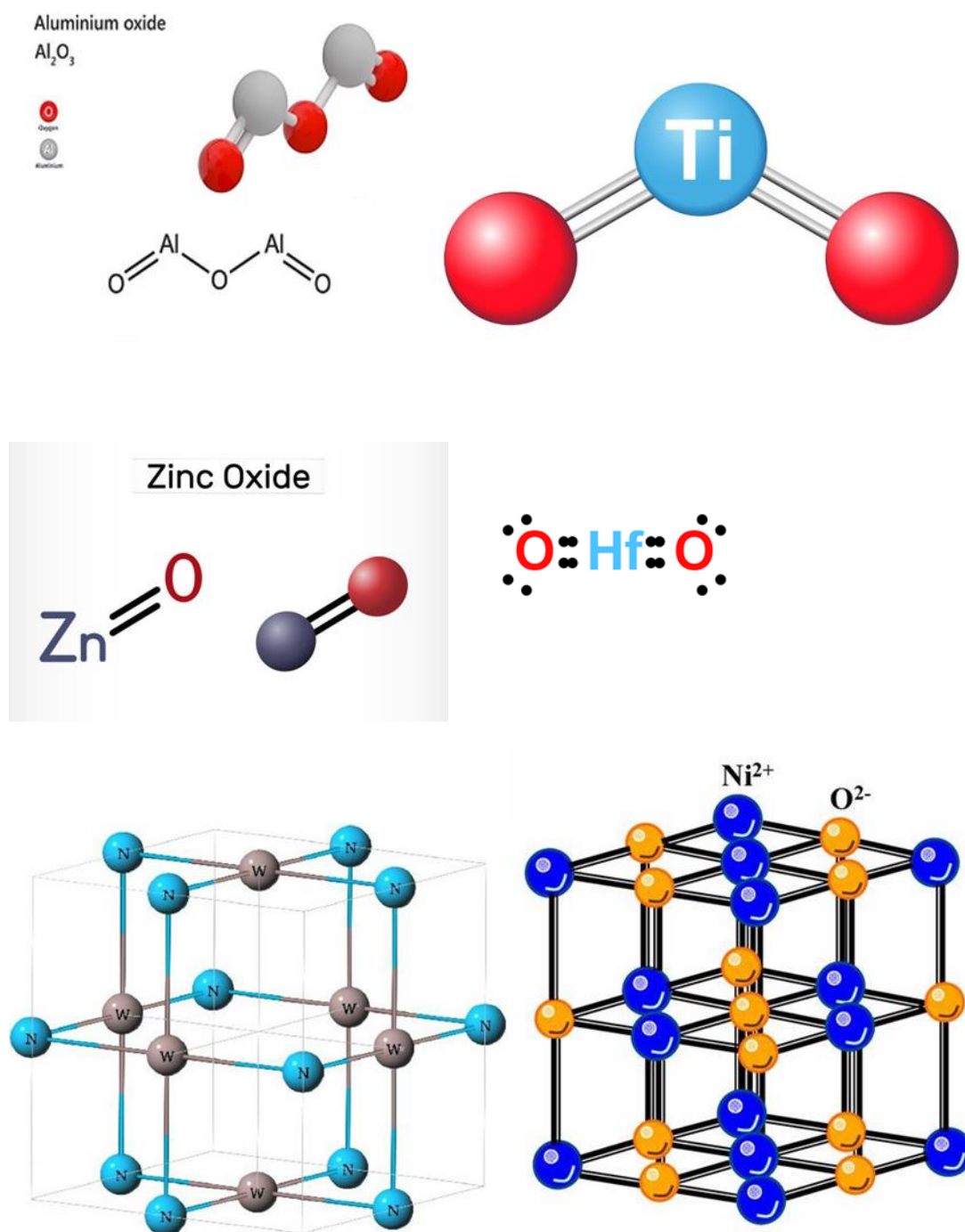


Fig. 3. Materials used as targets

**Method of making materials:**

**1. Aluminum Oxide ( $Al_2O_3$ )**

$Al_2O_3$  is deposited using trimethylaluminum (TMA) and water ( $H_2O$ ) as precursors.

- **Precursor Selection:** TMA is chosen for its high reactivity and volatility[35].
- **Deposition Process:** The substrate is first exposed to TMA, which chemisorbs onto the surface. In the second step, water vapor reacts with the adsorbed TMA to form  $Al_2O_3$  and methane by-products[36].
- **Reaction:**  $Al(CH_3)_3 + 3H_2O \rightarrow Al_2O_3 + 6CH_4$

**2. Titanium Dioxide ( $TiO_2$ )**

$TiO_2$  is deposited using titanium tetrachloride ( $TiCl_4$ ) and water ( $H_2O$ ).

- **Precursor Selection:**  $TiCl_4$  is selected for its appropriate vapor pressure and reactivity[37].
- **Deposition Process:**  $TiCl_4$  is introduced to the substrate, forming a chemisorbed layer. Water vapor is then introduced, reacting with the  $TiCl_4$  to form  $TiO_2$  and HCl as a by-product[38][39].
- **Reaction:**  $TiCl_4 + 2H_2O \rightarrow TiO_2 + 4HCl$

**3. Zinc Oxide ( $ZnO$ )**

$ZnO$  is deposited using diethylzinc (DEZ) and water ( $H_2O$ ).

- **Precursor Selection:** DEZ is selected for its high volatility and reactivity[40].
- **Deposition Process:** DEZ is first introduced to the substrate, where it adsorbs. Water vapor is then introduced to react with the DEZ, forming  $ZnO$  and ethane as a by-product[41].
- **Reaction:**  $Zn(C_2H_5)_2 + 2H_2O \rightarrow ZnO + 2C_2H_6$

**4. Hafnium Oxide ( $HfO_2$ )**

$HfO_2$  is deposited using tetrakis (ethylmethylamino) hafnium (TEMAH) and water ( $H_2O$ )[42].

- **Precursor Selection:** TEMAH is chosen for its stability and reactivity[43].
- **Deposition Process:** TEMAH is introduced to the substrate, forming a chemisorbed layer. Water vapor is then introduced to react with TEMAH, forming  $HfO_2$  and volatile by-products[44].
- **Reaction:**  $HF(N(C_2H_5)_2)_4 + 2H_2O \rightarrow HfO_2 + 4H_2N(C_2H_5)_2$

**5. Tungsten Nitride (WN)**

WN is deposited using tungsten hexafluoride ( $WF_6$ ) and ammonia ( $NH_3$ )[45].

- **Precursor Selection:**  $WF_6$  is chosen for its volatility and reactivity with ammonia.
- **Deposition Process:**  $WF_6$  is introduced to the substrate, forming a chemisorbed layer. Ammonia is then introduced to react with  $WF_6$ , forming WN and hydrogen fluoride as by-products.
- **Reaction:**  $WF_6 + NH_3 = WN + 6HF$

**6. Nickel Oxide ( $NiO$ )**

$NiO$  is deposited using nickelocene ( $Ni(C_5H_5)_2$ ) and ozone ( $O_3$ ).

- **Precursor Selection:** Nickelocene is selected for its appropriate vapor pressure and reactivity[46].
- **Deposition Process:** Nickelocene is introduced to the substrate, forming a chemisorbed layer. Ozone is then introduced to react with nickelocene, forming  $NiO$  and carbon dioxide as by-products[47].
- **Reaction:**  $Ni(C_5H_5)_2 + O_3 = NiO + 10CO_2 + 10H_2O$

Table 1: Material efficiency in ALD method

<i>Material</i>	<i>Role in QD Solar Cells</i>	<i>Efficiency Contribution</i>	<i>Advantages</i>	<i>Disadvantages</i>
$Al_2O_3$	Passivation layer	Improves cell stability and lifetime	Excellent passivation, high dielectric constant, good barrier properties	Can reduce charge carrier mobility
$TiO_2$	Electron transport layer	Enhances electron transport and reduces recombination	High electron mobility, good optical transparency	Can suffer from stability issues under UV light
$ZnO$	Electron transport layer	Provides good electron mobility and transparency	Lower cost, easy synthesis, good electron mobility	Prone to degradation in humid conditions
$HfO_2$	Passivation layer	Improves interface quality and reduces recombination	High dielectric constant, good passivation	Expensive, complex synthesis process
WN	Electrode material	Enhances conductivity and stability	High conductivity, excellent chemical stability	Higher cost, more complex deposition process
$NiO$	Hole transport layer	Facilitates hole transport and reduces recombination	Good hole conductivity, chemical stability	Can introduce defects, relatively lower hole mobility

Table 2: Material stability in ALD method

<i>Material</i>	<i>Role in QD Solar Cells</i>	<i>Stability Contribution</i>	<i>Advantages</i>	<i>Disadvantages</i>
$Al_2O_3$	Passivation layer	Excellent thermal and chemical stability, enhances QD stability	High dielectric constant, good barrier properties	Can reduce charge carrier mobility
$TiO_2$	Electron transport layer	Good stability under light exposure and chemical environments	High electron mobility, good optical transparency	Can degrade under UV light over time
$ZnO$	Electron transport layer	Moderate stability, sensitive to moisture and UV light	Lower cost, easy synthesis, good electron mobility	Degrades in humid conditions
$HfO_2$	Passivation layer	High thermal and chemical stability, improves interface quality	High dielectric constant, good passivation	Expensive, complex synthesis process
WN	Electrode material	Excellent thermal and chemical stability	High conductivity, excellent chemical stability	Higher cost, more complex deposition process
$NiO$	Hole transport layer	Good chemical and thermal stability	Good hole conductivity, chemical stability	Can introduce defects, relatively lower hole mobility

Table 3: The degree of hydrophobicity of materials in the ALD method

<i>Material</i>	<i>Role in QD Solar Cells</i>	<i>Hydrophobicity Contribution</i>	<i>Advantages</i>	<i>Disadvantages</i>
$Al_2O_3$	Passivation layer	Moderately hydrophobic, improves surface passivation	High dielectric constant, good barrier properties	Can reduce charge carrier mobility
$TiO_2$	Electron transport layer	Hydrophilic, can be modified for hydrophobic properties	High electron mobility, good optical transparency	Requires surface treatment for hydrophobicity
$ZnO$	Electron transport layer	Hydrophilic, can be functionalized to improve hydrophobicity	Lower cost, easy synthesis, good electron mobility	Prone to degradation in humid conditions
$HfO_2$	Passivation layer	Moderately hydrophobic, enhances stability against moisture	High dielectric constant, good passivation	Expensive, complex synthesis process
WN	Electrode material	Hydrophobic, excellent chemical stability	High conductivity, excellent chemical stability	Higher cost, more complex deposition process
$NiO$	Hole transport layer	Hydrophilic, can be modified to become hydrophobic	Good hole conductivity, chemical stability	Can introduce defects, relatively lower hole mobility

#### Conclusion:

In the context of quantum dot (QD) solar cells, the materials  $Al_2O_3$ ,  $TiO_2$ ,  $ZnO$ ,  $HfO_2$ , WN, and  $NiO$  each offer distinct advantages and challenges when used in the Atomic Layer Deposition (ALD) method.  $Al_2O_3$  and  $HfO_2$  are highly effective as passivation layers due to their excellent thermal and chemical stability, with  $Al_2O_3$  also providing moderate hydrophobicity, enhancing the cell's longevity and performance.  $TiO_2$  and  $ZnO$ , as electron transport layers, deliver high electron mobility and good optical transparency, although they require surface treatments to achieve desired hydrophobic properties.  $NiO$ , utilized as a hole transport layer, is notable for its good hole conductivity and chemical stability, though it can introduce defects if not carefully managed. WN, although expensive, stands out for its exceptional thermal and chemical stability, making it a reliable electrode material. Considering all parameters,  $TiO_2$  emerges as the most balanced material, offering high electron mobility, good optical transparency, and reasonable stability. Despite requiring UV stability improvements, its overall performance in enhancing electron transport and reducing recombination makes it highly beneficial for QD solar cells. Therefore,  $TiO_2$  provides the best overall results, combining efficiency, stability, and adaptability within the ALD method [37], [38], [40].

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